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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known	
Application Number	09/441,411
Filing Date	November 16, 1999
First Named Inventor	Nathalie B. Scholler
Examiner Name	Anne-Marie Baker
Group Art Unit	1632
Attorney Docket No	730033.409

TOTAL AMOUNT OF PAYMENT	($)	600

METHOD OF PAYMENT

1. [ ] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: **19-1090**

Deposit Account Name: **Seed Intellectual Property Law Group** PLLC

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any overpayment to Deposit Account Number above.

[X] Applicant claims small entity status. See 37 CFR 1.27

2. [X] Payment Enclosed:

[X] Check [ ] Credit card [ ] Money Order [ ] Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	($)

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below		Fee Paid
Total Claims		-** =		X	=
Independent Claims		-** =		X	=
Multiple Dependent				X	=

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** *Reissue independent claims over original patent*	
110	18	210	9	** *Reissue claims in excess of 20 and over original patent*	
				SUBTOTAL (2)	($)

**or number previously paid if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	445
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	155
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	($) 600

SUBMITTED BY

Name (Print/Type)	STEPHEN J. ROSENMAN, PH.D.	Registration No. Attorney/Agent	43,058
Firm Name/ Address			
Signature		Date	July 3, 2001

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PATENT TRADEMARK OFFICE

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable
☒ DUPLICATE

RECEIVED FEB 0 6 2002 TECH CENTER 1600/2900

Address to:

Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No. of Prior Application	**730033.409**
First Named Inventor	**Nathalie B. Scholler**
Examiner Name	**Anne-Marie Baker, Ph.D.**
Group Art Unit	**1632**
Express Mail Label No.	**EL 755733438US**

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),

(continued prosecution application (CPA)) of prior application number **09/441,411**, filed on **November 16, 1999**, entitled **SURFACE RECEPTOR ANTIGEN VACCINES**.

NOTES

FILING QUALIFICATIONS: *The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).*

C-I-P NOT PERMITTED: *A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).*

EXPRESS ABANDONMENT OF PRIOR APPLICATION: *The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.*

ACCESS TO PRIOR APPLICATION: *The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.*

35 U.S.C. 120 STATEMENT: *In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).*
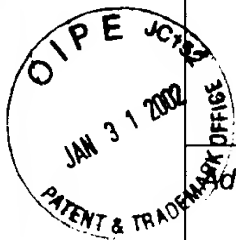
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.

2. ☐ A preliminary amendment is enclosed.

3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

    a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

    ————

    ————

    b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5. ☐ Information Disclosure Statement (IDS) is enclosed:

    a. ☐ PTO-1449

    b. ☐ Copies of IDS Citations

PTO/SB/29 (10-00)
Approved for use t● 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

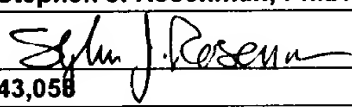| CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	8-20* =	00	x $00=	$ 00	
	INDEPENDENT CLAIMS 37 CFR 1.16(b) or (i))	4-3** =	1	x $42=	42	
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x $_____ =	00	
				BASIC FEE (37 CFR 1.16)	370	
			Total of above Calculations =		412	
	Extension of Time Fee				980	
	* *Reissue claims in excess of 20 and over original patent.* ** *Reissue independent claims over original patent.*			TOTAL =	1,392	

6. ☒ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **14-1090**:

    a. ☒ Fees required under 37 CFR 1.16.

    b. ☒ Fees required under 37 CFR 1.17.

    c. ☐ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of $ 1,392 is enclosed.

9. ☐ Payment by credit card. Form PTO-2038 is attached.

10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.

11. ☐ New Attorney Docket Number, if desired _____
    [Prior application Attorney Docket Number will carry over to this CPA unless a new Attorney Docket Number has been provided herein.]

12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

    b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

13. ☐ Other: _____

NOTE: *The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.*

14. NEW CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

00500
PATENT TRADEMARK OFFICE

or ☐ New correspondence address below

Name	
Address	

City		State		Zip Code	
Country		Telephone		Fax	

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print /Type)	Stephen J. Rosenman, Ph.D.
Signature	*[signature]*
Registration No. (Attorney/Agent)	43,058
Date	January 31, 2002